

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
48968 (71789)

In Re Application Of:

CLAGETT, J

Serial No.
09/960,720

Filing Date
September 21, 2001

Examiner
Unassigned

TECH CENTER 1600/2900

Group A Unit
61

MAR 13 2002

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Title: TREATMENT WITH SMALL PEPTIDES TO EFFECT ANTIFIBROTIC ACTIVITY

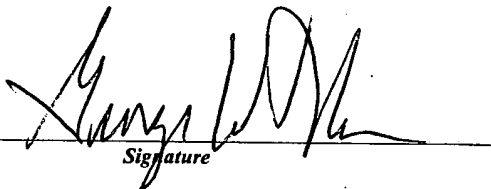
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- (1) PTO Form 1449, one page, one reference;
- (2) Search Report Information Disclosure Statement, 4 pages;
- (3) Copy of EPO Search Report, dated December 20, 2001;
- (4) Certificate of Mailing;
- (5) Return postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1105 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.


Signature

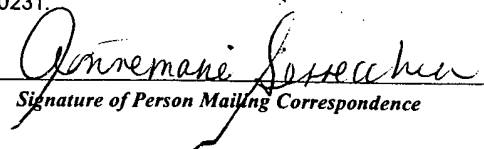
Dated: 6 Feb. '02

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CC:

I certify that this document and fee is being deposited on ~~January 2002~~ 2/6/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Annemarie Serrecchia

Typed or Printed Name of Person Mailing Correspondence



IDS/#4
4.2.02

Docket No. 48968 (71789)

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TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: CLAGETT, J
SERIAL NO: 09/960,720 **EXAMINER:** Unassigned
FILED ON: September 21, 2001 **GROUP ART:** 1614
FOR: **TREATMENT WITH SMALL PEPTIDES TO EFFECT
ANTIFIBROTIC ACTIVITY**

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.	
Date: <u>2/6/02</u>	By: <u>Annemarie Serrecchia</u>
	Annemarie Serrecchia

**SEARCH REPORT INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE)**

Box IDS
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

- b. _____ Each of the patents, publications or other information listed on the attached PTO-1449 was previously cited by or submitted to the USPTO, to which the subject application claims the benefit of the earlier filing date. As such, a copy of each is not included herewith pursuant to 37 C.F.R. § 1.97(d).

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. X Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. _____ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- _____ English translation for reference(s) _____.
- _____ English abstract for reference(s) _____.
- _____ English translation of search report/ official communication.
- c. X The following additional information is provided for the Examiner's consideration:
- X **A copy of EPO Search Report, dated December 20, 2001**
- _____ A copy of Supplementary Partial European Search Report.
- _____ English translation of search report/ official communication.

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. _____ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. _____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. X before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under

37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$230.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$230.00 is required by 37 C.F.R. § 1.17(p).
or
b. ☒ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. ☒ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of the IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VII. FEE PAYMENT (check one)

_____ Enclosed please find a check in the amount of \$230.00 for the above-indicated fee.

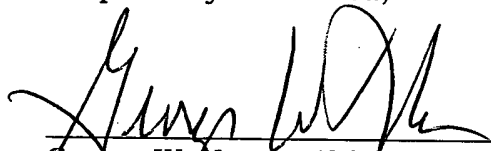
_____ Please charge Deposit Account No. 04-1105 in the amount of \$230.00 for the above-indicated fee.

 X No fee is required.

If the Examiner has any questions concerning the IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully Submitted,

6 Feb '02
Date


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TECH CENTER 1600/2900

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT



ATTY DOCKET NO.

48968

SERIAL NO.

09/960,720

APPLICANT(S)

CLEGETT, J.

FILING DATE

September 21, 2001

GROUP NO.

1614

UNITED STATES PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

CA	Adamson I Y et al., Enhanced Clearance of Silica From Mouse Lung After Instillation Of A Leukocyte Chemotactic Factor, Experimental Lung Research, 20: 223-233 (1994)

EXAMINER:

DATE: